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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/761,525	01/20/2004	1763	770	P/727-127	6	6	1

CONFIRMATION NO. 3426

000002352
OSTROLENK FABER GERB & SOFFEN
1180 AVENUE OF THE AMERICAS
NEW YORK, NY 100368403

FILING RECEIPT



OC000000012449970

Date Mailed: 04/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

YI Ju Su, Hsinchu, TAIWAN;
Da Wei Lin, Hsinchu, TAIWAN;

Assignment For Published Patent Application

Macronix International Co., Ltd.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 04/26/2004

Projected Publication Date: 07/21/2005

Non-Publication Request: No

Early Publication Request: No

Title

Method of forming a micro pattern on a substrate

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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OFGS FILE NO	P/727-127
PATENTS ORDERED	SG

RCF





IFW

P/727-127

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yi Ju SU et al.

Date: May 25, 2004

Serial No.: 10/761,525

Group Art Unit: 1763

Filed: January 20, 2004

Examiner: ---

For: METHOD FOR FORMING A MICROPATTERN ON A SUBSTRATE

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

The first named inventor's name is spelled incorrectly. His name should read as follows:

Yi Ju Su.

A copy of the original declaration showing the correct inventorship is enclosed.

A red-lined copy of the filing receipt reflecting the error(s) is enclosed.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

In the event payment of any fee is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 25, 2004:

Robert C. Faber

Name of applicant, assignee or
Registered Representative

Signature

May 25, 2004

Date of Signature

Respectfully submitted,

Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB & SOFFEN, LLP

1180 Avenue of the Americas

New York, NY 10036-8403

Telephone No.: (212) 382-0700

RCF:mjb

FORMMASTERS\CORFIL

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are ~~as shown~~ below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD OF FORMING A MICRO PATTERN ON A SUBSTRATE

the specification of which is attached hereto unless the following box is checked:

☐

was filed on _____ as United States Application Number _____

or PCT International Application Number _____

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

NONE		
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No
<input type="checkbox"/>	<input type="checkbox"/>
Yes	No
<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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I (we) hereby appoint the following as our representative(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

James A. Finder - Reg. No. 30,173	Douglas A. Miro - Reg. No. 31,643
William O. Gray, III - Reg. No. 30,944	Samuel H. Weiner - Reg. No. 18,510
Louis C. Dujmich - Reg. No. 30,625	Jerome M. Berliner - Reg. No. 18,653
Send Correspondence to:	Robert C. Faber - Reg. No. 24,322
OSTROLENK, FABER, GERB & SOFFEN, LLP	Max Moskowitz - Reg. No. 30,576
1180 Avenue of the Americas	
New York, N.Y. 10036-8403	
U.S.A.	
Customer No. 2352	
Direct Telephone Calls to:	
Mr. Robert C. Faber 212 382 0700	

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

YI JU SU

Inventor's signature

Date

Yi Ju Su

2003.12.24

Residence:

City

State

Country

Citizenship

No. 16, Li-Hsing Rd., Science-Based Industrial Park, Hsinchu, Taiwan Taiwan

Mailing Address

same as residence

Full name of second joint inventor, if any

Da Wei Lin

Second Inventor's signature

Date

Da Wei Lin

2003.12.24

Residence:

City

State

Country

Citizenship

No. 16, Li-Hsing Rd., Science-Based Industrial Park, Hsinchu, Taiwan Taiwan

Mailing Address

same as residence